



# UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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Bib Data Sheet

<b>SERIAL NUMBER</b> 09/618,731	<b>FILING DATE</b> 07/18/2000 <b>RULE</b> -	<b>CLASS</b> 386	<b>GROUP ART UNIT</b> 2615	<b>ATTORNEY DOCKET NO.</b> 1317.1014CIP/MDS
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## APPLICANTS

Jung-Kwon Heo, Songpa-gu, Seoul, KOREA, REPUBLIC OF;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A CIP OF 09/047,363 03/25/1998  
THIS APPLICATION IS A CIP OF 09/047,363 03/25/1998

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

REPUBLIC OF KOREA 1997-10330 03/25/1997

## IF REQUIRED, FOREIGN FILING LICENSE

GRANTED \*\* 10/13/2000

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> KOREA, REPUBLIC OF	<b>SHEETS DRAWING</b> 45	<b>TOTAL CLAIMS</b> 35	<b>INDEPENDENT CLAIMS</b> 11	
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance					
Verified and Acknowledged	Examiner's Signature	Initials			

## ADDRESS

Staas & Halsey  
700 Eleventh Street N.W. Suite 500  
Washington ,DC 20001

## TITLE

Dvd-audio disk, and apparatus and method for playing the same(as amended)

<b>FILING FEE RECEIVED</b> 1584	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jung-Kwon Heo, et al.

Serial No.: 09/618,731

Filed: July 18, 2000



Group Art Unit: 2615

RECEIVED  
JAN 30 2001  
Technology Center 2600

For: DVD-AUDIO DISK, AND APPARATUS AND METHOD FOR PLAYING  
THE SAME (AS AMENDED)

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

It is requested that the Continuing Data be added to the Official Filing Receipt. The Continuing Data is --**This application is a CIP of application number 09/047,363, filed March 25, 1998, now pending, which is a CIP of application number 08/921,082, filed February 7, 1997, now abandoned--**, as is evidenced by the Transmittal attached to the application as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

STAAS & HALSEY LLP

By:

Michael D. Stein

Registration No. 37,240

700 Eleventh Street, N.W.  
Washington, D.C. 20001  
(202) 434-1500

Date:

11/17/00



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/618,731	07/18/2000	2615	1584	1317.1014CIP/MDS CIP	45	35	11

Staas & Halsey  
700 Eleventh Street N.W. Suite 500  
Washington, DC 20001



## FILING RECEIPT



\*OC000000005474114\*

Date Mailed: 10/16/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jung-Kwon Heo, Songpa-gu, Seoul, KOREA, REPUBLIC OF;

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## Continuing Data as Claimed by Applicant

CIP of 09/047,363 filed 3/25/98 which is a CIP of 08/921,082  
filed 02/07/97.

## Foreign Applications

REPUBLIC OF KOREA 1997-10330 03/25/1997

If Required, Foreign Filing License Granted 10/13/2000

## Title

Dvd-audio disk, and apparatus and method for playing the same(as amended)

## Preliminary Class

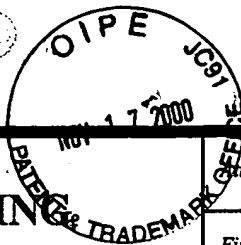
386

Data entry by : WALLACE SR, PRESTON

Team : OIPE

Date: 10/16/2000





**CONTINUING  
(1.53(b)) UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.

1317.1014CIPCIP/MDS

First Named Inventor:

Jung-Kwon HEO

Title:

DVD-AUDIO DISK, AND APPARATUS AND METHOD FOR  
PLAYING THE SAME (AS AMENDED)

Express Mail Label No.

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent  
application contents.

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [ Total Pages: 90 ]
3. ☒ Drawing(s) (35 USC 113) ..... [ Total Sheets: 45 ]
4. ☒ Oath or Declaration ..... [ Total Pages: 1 ]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (see Box 18)
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
- a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
- b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☒ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

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**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
- a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 09/047,363.
- b. ☐ Certified Copy of Priority Document(s) enclosed.
- c. ☐ Certified Copy of Priority Document(s) to follow.
13. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
14. ☒ Preliminary Amendment
- a. ☐ enclosed herewith.
- b. ☒ incorporated herein (see Box 18).
15. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
16. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, status still proper and desired.
17. ☐ Other:

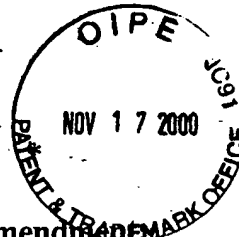
**18. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/047,363, which is a continuation-in-part of application No. 09/921,082.

Prior application information: Examiner: UNASSIGNED

Group/Art Unit: 2754

\* \*



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**Preliminary Amendment**

☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

☒ Amend the specification by inserting before the first line the sentence:

--This application is a continuation-in-part of application number 09/047,363, filed March 25, 1998, now pending, which is a continuation-in-part of application number 08/921,082, filed February 7, 1997, now abandoned.--

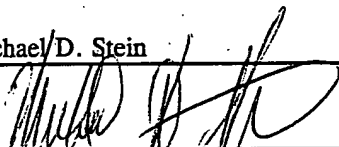
**19. NEW CORRESPONDENCE ADDRESS \* CUSTOMER NO. 21,171**

STAAS & HALSEY LLP  
700 Eleventh Street, N.W.  
Suite 500  
Washington, DC 20001

Telephone: (202) 434-1500

Facsimile: (202) 434-1501

**20. SIGNATURE OF ATTORNEY OR AGENT**

NAME	Michael D. Stein	REGISTRATION NO.	37,240
SIGNATURE		DATE	7/18/00